

3815.91

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371U.S. APPLICATION NO. (If known, see 37 CFR 1.5)
09/446560
To be assignedINTERNATIONAL APPLICATION NO.
PCT/JP99/02154INTERNATIONAL FILING DATE
April 22, 1999PRIORITY DATE CLAIMED
April 23, 1998

TITLE OF INVENTION

CDMA RECEIVER AND CDMA TRANSCEIVER

APPLICANT(S) FOR DO/EO/US
Sadayuki Abeta, Mamoru Sawahashi and Fumiayuki Adachi

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. has been transmitted by the International Bureau.
 - c. is not required, as the application was filed in the United States Receiving Office (RO/US).
6. A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. have been transmitted by the International Bureau.
 - c. have not been made; however, the time limit for making such amendments has NOT expired.
 - d. have not been made and will not be made.
8. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. A **FIRST** preliminary amendment.
- A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. A substitute specification.
15. A change of power of attorney and/or address letter.
16. Other items or information:

17. The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$970.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$840.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$760.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$670.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$96.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$ 840

Surcharge of \$130.00 for furnishing the oath or declaration later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	19 - 20 =	0	X \$18.00	\$
Independent claims	6 - 3 =	3	X \$78.00	\$ 234
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$260.00	\$

TOTAL OF ABOVE CALCULATIONS =

\$ 1,074

Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).

\$

SUBTOTAL =

\$ 1,074

Processing fee of \$130.00 for furnishing the English translation later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

+

TOTAL NATIONAL FEE =

\$

+

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

\$

+

TOTAL FEES ENCLOSED =

\$ 1,074

\$

Amount to be:

refunded

charged

\$

a. A check in the amount of \$ 1,074 to cover the above fees is enclosed.

b. Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-4270. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Brown Raysman Millstein Felder & Steiner LLP
120 West 45th Street
New York, NY 10036



SIGNATURE:

Larry Liberchuk

NAME

40,352

REGISTRATION NUMBER

09/446560
418 Rec'd PCT/TO 22 DEC 1999

Docket No. 3815/91

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Sadayuki Abeta, et al.

Int'l. Appl. No.: PCT/JP99/02154

Int'l. Filing Date: April 22, 1999

U.S. Serial No.: To be assigned

Filing Date: Concurrently herewith

Title: CDMA RECEIVER AND CDMA TRANSCEIVER

CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No.: EJ916884873US

Date of Deposit: December 22, 1999

I hereby certify that the enclosed Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 and required documents for entry into the national phase under PCT for the identified application are being deposited with the United States Postal Service "Express Mail Post Office to Address" service under 37 CFR §1.10 on the date indicated above and is addressed to:

Assistant Commissioner for Patents
BOX PCT
Washington, DC 20231

The following is a list of the documents submitted herewith:

- (1) Transmittal Letter to the United States Designated/Elected Office concerning a filing under 35 U.S.C. 371
- (2) Verified translation of Application (Specification-68 pages)

09/446560

-2

428 Rec'd PCT/PTO 22 DEC 1999

(3) Formal Drawings Figs. 1-23 consisting of 25 sheets

(4) Check for \$1,074

(5) Executed Declaration of the Inventors (4 pages)

(6) Assignment with Recordation Cover

(7) Check for \$40

(8) Preliminary Amendment

(9) A copy of Form PCT/IB/308 indicating that a copy of the International Application was submitted to the US.

(10) Return Postcard

Respectfully submitted,

Dated: December 22, 1999

By: Larry Liberchuk
Larry Liberchuk
Registration No. 40,352
Attorney for Applicants
Brown Raysman Millstein Felder & Steiner LLP
120 West Forty-Fifth Street
New York, New York 10036
Phone: (212) 944-1515 Fax: (212) 840-2429

09/446560

Rec'd PCT/PTO 22 DEC 1999

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re International Application of
Sadayuki ABETA, Mamoru SAWAHASHI and Fumiyuki ADACHI

International Serial No.: PCT/JP99/02154
International filing date: April 22, 1999

For: CDMA RECEIVER AND CDMA TRANSCEIVER

VERIFICATION OF TRANSLATION

Honorable Commissioner of Patent and Trademark
Washington, D.C. 20231

Sir:

Masashi SHINKAI residing at c/o TANI & ABE, No. 6-20,
Akasaka 2-chome, Minato-ku, Tokyo 107-0052, Japan,
declares:

- (1) that he knows well both the Japanese and English languages;
- (2) that he translated the claims of the above-identified International Application from Japanese to English;
- (3) that the attached English translation is a true and correct translation of the claims, specification and drawings of the above-identified International Application to the best of his knowledge and belief; and
- (4) that all statements made of his own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements are made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 USC 1001, and that such false statements may jeopardize the validity of the application or any patent issuing thereon.

December 3, 1999

Date

M. Shinkai

Masashi SHINKAI